MAIL STOP PCT Attorney Docket: 27413U Date: 12 June 2006 (12.06.2006) U.S. Department Of Commerce, Patent And Trademark Office u.s. Application No. 1600 (if 160) 5t82 is Ong Transmittal Letter To The United States Designated/Elected Office (DO/EO/US) Concerning A Filing Under 35 U.S.C. 371 Priority Date Claimed: International Application No.: International Filing Date: 17 December 19 December 2003(19:12:2003) EP PCT/EP2004/053562 2004(17.12.2004)) 23 July 2004(23.07.2004) Title of Invention: INTERMEDIATES FOR THE PREPARATION OF TRICYCLIC DIHYDROPYRANO-IMIDAZO-PYRIDINES DERIVATIVES Applicants for DO/EO/US: PALMER, Andreas NETTEKOVEN, Ulrike Applicant hereby submits to the Unites States Designated/Elected Office (DO/EO/US) the following items and other information: X This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 2. 371. \underline{X} This is an express request to begin national examination procedures (35 USC 371(f)). The 3. submission must include items (5), (6), (9) and (21) indicated below. The US has been elected (Article 31). 4. X A copy of the International Application as filed (35 U.S.C. 371(c)(2)): a. \underline{X} is transmitted herewith (required only if not transmitted by the International Bureau). has been communicated by the International Bureau. c. ___ is not required, as the application was filed in the United States Receiving Office (RO/US) A English translation of the International Application as filed (35 U.S.C. 371(c)(2). X Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) _ are attached hereto (required only if not transmitted by the International Bureau). have been communicated by the International Bureau. c. ___ have not been made; however, the time limit for making such amendments has NOT expired. have not been made and will not be made. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). ITEMS 11 to 20 BELOW CONCERN OTHER DOCUMENT(S) OR INFORMATION INCLUDED: 11. ___ An Information Disclosure Statement under 37 CFR 1.97 and 1.98. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 13. \underline{X} A **FIRST** preliminary amendment. 14. ___ A SECOND or SUBSEQUENT preliminary amendment 15. ___ A substitute specification. A change of power of attorney and/or address letter. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter2 and 35 USC 1821 - 1825 A second copy of the published international application under 35 USC 154(d)(4) A second copy of the English language translation of the international application under 19. 35 USC 154(d)(4) 20. X Other items or information: TRANSMITTAL LETTER; APPLICATION DATA SHEET; INTERNATIONAL PUBLICATION NUMBER: WO 2005/058894 A1 WITH PCT/ISA/210 (INTERNATIONAL SEARCH REPORT), INTERNATIONAL PUBLICATION DATE: 30 JUNE 2005 (30.06.2005), WHICH CONSISTS OF 40 PAGES:1 PAGE FOR THE COVER SHEET, 31 PAGES OF TEXTUAL SPECIFICATION, 8 PAGES OF 12 CLAIMS, 0 SHEETS OF DRAWINGS; PRELIMINARY AMENDMENT WITH APPENDICES A, B AND C TO BE EXAMINED; PCT/RO/101(PCT REQUEST WITH EXECUTED INVENTOR'S DECLARATION; PCT/ISA/237 (WRITTEN OPINION OF THE INTERNATIONAL SEARCHING AUTHORITY); PCT/IB/304 (NOTIFICATION CONCERNING SUBMISSION OR TRANSMITTAL OF PRIORITY DOCUMENT); PCT/IB/308(FIRST NOTICE INFORMING THE APPLICANT OF THE COMMUNICATION OF THE INTERNATIONAL APPLICATION); PCT/IB/308 (SECOND AND SUPPLEMENTARY NOTICE INFORMING THE APPLICANT OF THE COMMUNICATION OF THE INTERNATIONAL APPLICATION); PCT/IB/306(NOTIFICATION OF THE RECORDING OF A CHANGE); CORRECTED VERSION OF INTERNATIONAL PUBLICATION NO.: WO 2005/058894 A1; POSTCARD FOR EARLY NOTIFICATION OF THE SERIAL NUMBER REQUEST.

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AP3 Rec'd PCT/PTO 12 JUN 2008

MAIL STOP PCT Attorney Docket: 27413U Date: 12 June 2006 (12.06.2006) U.S. Application Number: International Application Number: PCT/EP2004/053562 The following fees are submitted: CALCULATIONS PTO USE ONLY 21. Basic National Fee (37 CFR 1.492(a)).....\$ 300.00 300.00 22. Examination Fee (37 CFR 1.492 (c)) If the written opinion prepared by ISA/US or the International Preliminary Examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4)\$ 0.00 All other situations \$ 200.00 200.00 23. Search Fee (37 CFR 1.492(b)) If the written opinion of the ISA/US or the International Preliminary Examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4)
\$ 0.00 Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority International Search Report prepared by an ISA other than the US and provided to the Office or previously communicated to the US by the IB\$ 400.00 400.0C All other situations\$ 500.00 Additional fee for specification and drawings filed in paper over 100 sheets (excluding Sequence listing or computer program listing filed in an electronic medium). The fee is \$ 250.00 for each additional 50 sheets of paper or fraction thereof. ENTER APPROPRIATE BASIC FEE AMOUNT = 900.00 0.00 Surcharge of \$130.00 for furnishing the oath or declaration 30 months from the earliest claimed priority date (37 CFR 1.492(e)). NUMBER: NUMBER: RATE CLAIMS FILED EXTRA TOTAL 12-20= 0 X \$ 50.00 \$ 0.00 INDEPENDENT 1-3= X \$ 200.00 \$ 0.00 Multiple dependent claims(s) 0.00 (if applicable) + \$360.00 TOTAL OF ABOVE CALCULATIONS = 900.00 Reduction by 2 for asserting small entity, if applicable. (Note 37 CFR 1.9, 1.27, 1.28). SUBTOTAL = 900.00 \$ Processing fee of \$130.00 for furnishing the English translation later than 20 30 months from the earliest claimed priority date (37 CFR 1.492(f)). 900.00 TOTAL NATIONAL FEE = Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover 0.00 sheet (37 CFR 3.28, 3.31). \$40.00 per property + 900.00 TOTAL FEES ENCLOSED = Amount to be: refunded charged \$

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AP3 Rec'd PCT/PTO 12 JUN 2006

Attorney Docket: 27413U

MAIL STOP PCT

U.S. Application Number: (if known): Not Yet Assigned

10/582609

International
Application Number:
PCT/EP2004/053562

Date: 12 June 2006 (12.06.2006)

- a. X One check in the amount of $\frac{$900.00}{}$ to cover the above fees is enclosed.
- b. Please charge my Deposit Account Number: 14-0112 in the amount of \$_______ to cover the above fees. (A duplicate copy of this sheet is enclosed.)
- c. \underline{X} The Commissioner is hereby authorized to charge any additional fees, which may be required, or credit any overpayment to Deposit Account Number: 14-0112.
- d. ____ Fees are to be charged to a credit card ____ WARNING: Information on this form may become public ____ Credit Card Information should not be included on this form. ____ Provide credit card information and authorization on PTO-2038

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed to request that the application be restored to pending status.

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10/582609

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MAIL STOAPS Rec'd PCT/PTO 12 JUN 2027

Attorney Docket: 27413U Date: June 12, 2006

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

PALMER et al.

Serial No.: Filed:

Not Yet Assigned June 12, 2006

International Appl. No.:

PCT/EP2004/053562

International Filing Date: 17 December 2004(17.12.2004)

Title: INTERMEDIATES FOR THE PREPARATION OF TRICYCLIC DIHYDROPYRANO-IMIDAZO-

PYRIDINES DERIVATIVES

TRANSMITTAL LETTER

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Submitted herewith for filing in the U.S. Patent and Trademark Office is the following:

Application Data Sheet; 1)

- Transmittal Letter to U.S. Designated/Elected Office (DO/EO/US) Concerning 2) filing under 35 U.S.C. 371;
- International Publication Number: WO 2005/058894 Al, with PCT/ISA/210 3) (International Search Report), International Publication Date: 30 June 2005 (30.06.2005), which consists of 40 pages:
 - 1 page for the Cover Sheet,
 - 31 pages of Textual Specification,
 - 8 pages of 12 Claims,
 - O sheets of Drawings;
- Preliminary Amendment with Appendices A, B and C to be Examined; 4)
- PCT/R0/101(PCT Request) with Executed Inventor's Declaration; 5)
- PCT/ISA/237 (Written Opinion of the International Searching Authority); 6)
- PCT/IB/304 (Notification Concerning Submission or Transmittal of Priority 7) Document);
- PCT/IB/308 (First Notice Informing the Applicant of the Communication of the 81 International Application);
- PCT/IB/308 (Second and Supplementary Notice Informing the Applicant of the 9) Communication of the International Application);
- PCT/IB/306(Notification of the Recording of a Change); 10)
- 11)
- Corrected Version of International Publication No.: WO 2005/058894 A1; Check No.: 2262 in the Amount of \$ 900.00 for Basic Filing Fee, which 12) includes Search and Examination Fees, as a Large Entity;
- Postcard for Early Notification of the Serial Number Request. 13)

Respectfully submitted, NATH & ASSOCIATES PLLC

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